



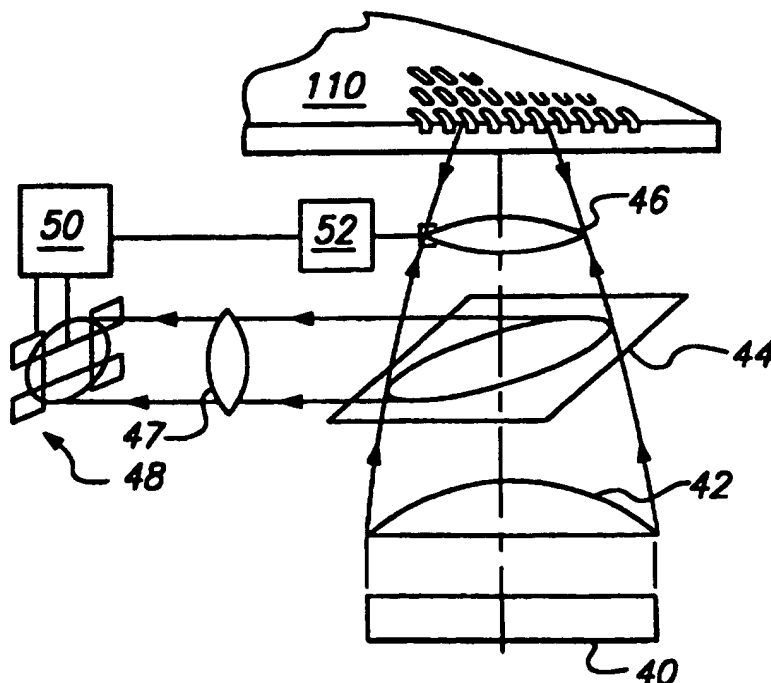
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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**(54) Title:** FOCUSING SYSTEM AND METHODS FOR MULTI-TRACK OPTICAL DISK APPARATUS

**(57) Abstract**

Focussing system methods and apparatus are provided for apparatus capable of simultaneously reading multiple tracks of an optical disk. Astigmatism introduced by a cylindrical lens disposed adjacent to the light source, or before the focus detectors arranged at the edge of a wide-area field of illumination, is used to compute an error signal corresponding to the degree of out-of-focus, which error signal is provided to a servo-system that moves the objective lens to correct the focus. An alternative embodiment employs a pair of focus detectors and a pair of micro-lenses having different focal lengths that directs the reflected light onto the focus detectors to generate a focus correction signal. Further alternative embodiments of employing the astigmatism method of focussing are described.



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## FOCUSSING SYSTEM AND METHODS FOR MULTI-TRACK OPTICAL DISK APPARATUS

Field Of The Invention

5           The present invention relates generally to  
retrieving information from an optical disk by  
simultaneously reading multiple adjacent data tracks,  
and more particularly to methods and apparatus for  
focussing an image obtained from the multiple adjacent  
10 data tracks onto an image detector.

Background Of The Invention

          The use of optical disks for storing  
information has become popular in recent years, with a  
number of products becoming commercially available in a  
15 compact-disk (CD), optical disk format. More recent  
developments include the use of CD format for data  
storage for personal computer systems, which may be  
either read-only CD-ROMs or recordable CDs.

          These optical disk products bounce light  
20 emitted from a semiconductor laser diode off an  
inhomogeneous reflective surface of the optical disk.  
The reflected light is then directed to an image  
detector, which outputs a signal that is processed to  
recover the stored digital data. A single speed CD  
25 drive rotates the disk, depending upon the portion of  
the disk being read, at from 200 rpm (at the outermost  
data track) to about 450 rpm (at the innermost track  
data), thus providing a constant linear velocity as the  
data is read off the disk.

30           The increased availability of CD-ROM  
products, coupled with the availability of increasingly  
faster microprocessors, has created the need for ever  
faster optical disk drives. As a result, disk drives

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capable of operating at multiples of a standard single speed drive are becoming available, for example, 2X, 4X and even 6X drives. Thus, for a 6X (six times single speed) disk drive, the disk is rotated at speeds up to 5 2700 rpm when reading the innermost data track. The ability to achieve even greater speeds may soon be limited by the ability of such technology to continue to provide low-cost, easily manufacturable systems, since the use of greater disk rotational speeds will 10 require more sophisticated, higher tolerance, and thus more expensive, designs than employed in previously known arrangements.

An alternative to simply increasing the disk rotational speed is to read multiple data tracks 15 simultaneously, as described in commonly assigned U.S. Patent No. 5,426,623, the entirety of which is incorporated herein by reference. In accordance with the methods and apparatus provided therein, for example, ten adjacent data tracks may be read 20 simultaneously. Thus, even if the disk is rotated at only twice standard speed (i.e., a 2X drive is used), the capability to read multiple tracks provides the equivalent of a 20X drive -- more than a factor of three faster than previously known disk drives.

25 Implementing simultaneous multiple track reading capability for optical disks presents new problems, however, relating to tasks such as focussing. The focussing systems generally used in optical disk systems involve measuring certain parameters of the 30 light spot formed by the illumination beam reflected from the optical disk. The light spot is typically very small, and has a diameter equal to the width of one track (i.e., 1.6 microns). Two such previously known methods are described, for example, at pages 140- 35 142 of H. Nakajima and H. Ogawa, Compact Disc Technology, (translated by C. Aschmann), published by

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Ohmsha, Ltd., Japan (1992), and at pages 111-117 of K. Pohlmann, The Compact Disk Handbook, (2nd ed. 1992), published by A-R Editions, Inc., Madison, Wisconsin, which are incorporated herein by reference.

5           In a first method, known as the Foucault method, a special bi-prism and bi-cell detector are used for measuring the position of the light spot. In a second method, known as the astigmatism method, a combination of a cylindrical lens and a quadrant  
10 detector are used to measure the degree of ellipticity of the light spot. A focus error signal formed from the sum of the outputs of the bi-cells or quadrant detector is supplied to a servo-system that moves the lens to correct the focus. These focussing systems  
15 depend in part upon the very small size of the light beam reflected from the disk, so that passing through the bi-prism or cylindrical lens does not degrade the resolution of the system.

          Neither of the previously known focussing  
20 methods can be used with the wide-area illumination employed in multiple track reading optical disk systems. In systems for simultaneously reading multiple tracks, the disk is illuminated by a light beam having a large diameter, and the resolution of the  
25 system is determined by the imaging optical system and by the high resolution imaging sensor. Passing the light beam created by the wide-area illumination through a bi-prism, as in the Foucault method, may cause degradation of the image. And because the light  
30 spot reflected from the wide-area illumination has a large diameter, the use of the previously known astigmatism method may require the use of a costly high power lens to focus the light to a conventional quadrant detector.

35           In view of the foregoing, it would be desirable to provide focussing methods and apparatus

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that permit focussing using a wide-area field of illumination employed in apparatus for simultaneously reading multiple tracks of an optical disk.

It would also be desirable to provide  
5 focussing methods and apparatus that permit focussing using a wide-area field of illumination employed in apparatus capable of simultaneously reading multiple tracks of an optical disk, without degradation of the image resolution.

10 It further would be desirable to provide focussing methods and techniques that permit focussing using a wide-area field of illumination employed in apparatus for simultaneously reading multiple tracks of an optical disk, without the use of high cost optical  
15 components.

#### Summary Of The Invention

In view of the foregoing, it is an object of this invention to provide focussing methods and apparatus that permit focussing using a wide-area field  
20 of illumination employed in apparatus capable of simultaneously reading multiple tracks of an optical disk, as described, for example, in the above-incorporated U.S. patent.

It is also an object of the present invention  
25 to provide focussing methods and techniques that permit focussing using a wide-area field of illumination employed in apparatus capable of simultaneously reading multiple tracks of an optical disk, without degradation of the image resolution.

30 It is a further object of this invention to provide focussing methods and techniques that permit focussing using a wide-area field of illumination employed in apparatus for simultaneously reading multiple tracks of an optical disk, without the use of  
35 high cost optical components.

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These and other objects of the invention are accomplished in accordance with the principles of the invention by providing focussing systems and methods that permit focussing using a wide-area field of illumination employed in apparatus capable of simultaneously reading multiple data tracks.

In accordance with a first embodiment of the invention, a number of focus detectors are arranged around a periphery of a centrally-positioned data image detector, so that the focus detectors are located at the edge of the wide-area field of illumination used for simultaneously imaging multiple data tracks. In this first embodiment, astigmatism introduced by a cylindrical lens disposed located preferably in front of the light source, or alternatively, in the path of the reflected light directed to the focussing and data image detectors from the optical disk, is used to compute the degree of out-of-focus. Previously known servo-systems may then be used to move the objective lens to correct the focus.

In a second embodiment of the invention, a pair of focus detectors are arranged adjacent to a data image detector and within the wide-area field of illumination returned from the objective (i.e., that is used for simultaneously imaging multiple data tracks). In this second embodiment, a pair of micro-lenses having different focal lengths is disposed in the path of the reflected light directed to the data image detector, and direct the light onto the pair of focus detectors. Signals obtained from the focus detectors are then processed to obtain maxima and minima corresponding to the present or absence of tracks, with the objective being driven to equilibrate the modulation in the processed signals provided by the two focus detectors.

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Further alternative embodiments are provided that adapt the astigmatism method of focussing for use in apparatus capable of simultaneously reading multiple data tracks of an optical disk.

5 Other features of the invention, its nature and various advantages will be more apparent from the accompanying drawings and the following detailed description of the preferred embodiments.

#### Brief Description Of The Drawings

10 FIG. 1 is a perspective view, not to scale, of a focussing system employing the astigmatism method as implemented in a previously known optical disk system.

15 FIG. 2 is a detailed illustrative view of the light focussed by the cylindrical lens of FIG. 1 as it would appear on a previously known four quadrant focus detector located at various distances from the cylindrical lens.

20 FIGS. 3A and 3B are, respectively, an elevational view, not to scale, showing a focussing system constructed in accordance with the principles of the present invention, and an elevational view illustrating operation of the focussing system of FIG. 3A.

25 FIGS. 4A, 4B and 4C show the coverage of the wide-area field of illumination reflected from the optical disk for the system of FIG. 3 depending on the state of the focus.

30 FIG. 5 is an elevational view, not to scale, showing an alternative embodiment of the focussing methods and apparatus of the present invention.

FIG. 6 is an illustrative output of the signal of the focus detectors of FIG. 5.



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FIG. 7 is a block diagram of analog electronic circuitry suitable for implementing the focussing system of FIG. 5.

FIGS. 8 and 9 are illustrative diagrams, not to scale, of alternative focussing systems in accordance with the present invention.

#### Detailed Description Of The Preferred Embodiments

The present invention provides focussing methods and apparatus for use in apparatus capable of simultaneously reading multiple data tracks from an optical disk. In a first embodiment, a variation of the well known astigmatism method of focussing is described. Alternatively, in a second embodiment, the apparatus includes micro-lenses having different focal lengths and associated focus detectors, and means for processing the signals generated by the focus detectors to drive the focus to a state in which modulation caused by the presence or absence of tracks is equalized between the focus detectors. Further alternative embodiments are also provided that enable previously known optical and electronic devices to be used in combination with a multiple track reading apparatus.

Referring now to FIGS. 1 and 2, the astigmatism method as implemented in previously known optical disk reading systems is described. In FIG. 1, light from light source 10 (not shown), typically a semiconductor laser diode, is directed through beam splitter (half-mirror) 12, through objective 14 and onto a surface of an optical disk 100. Light reflected from the optical disk returns to objective 14 and is reflected by beam splitter 12 to cylindrical lens 16.

In accordance with well known principles, the addition of cylindrical lens 16 in the optical path of light reflected from an optical disk adds an

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astigmatism aberration to the optical system. As a result, light emerging from cylindrical lens 16 is focussed to a single vertical line (parallel to the y-axis in FIG. 1) in an x-y plane passing through point 5 20, and a single horizontal line in an x-y plane passing through point 24 (parallel to the x-axis). At the midpoint of the length between points 20 and 24, point 22, the light spot is circular. This circular spot is the conjugate of the focus of the objective 10 lens, i.e., the image is inverted top-to-bottom and left-to-right.

Between points 20 and 22, for example, point 21, as the plane approaches point 20, the vertical axis of the light spot formed by cylindrical lens 16 becomes 15 longer, corresponding to movement of objective 14 closer to the optical disk. On the other hand, at locations between points 22 and 24, for example, at point 23, as the plane approaches point 24, the horizontal axis of the light spot becomes longer, 20 corresponding to movement of objective 14 further away from the optical disk.

The focussing system includes a quadrant detector 30, shown in FIG. 2, which is positioned in the optical path at a position corresponding to point 25 22. When objective 14 is focussed, the light spot projected onto quadrant detector 30 is circular. When the objective lens is too close to optical disk 100, the light spot projected onto quadrant detector 30 becomes a vertical ellipse. When the objective lens is 30 too far from optical disk 100, the light spot projected onto quadrant detector 30 becomes a horizontal ellipse.

Quadrant detector 30 develops a focus error signal as a function of the ellipticity of the light spot impinging upon the detector. For convenience, the 35 four quadrants of detector 30 are labelled 30a, 30b, 30c and 30d in a clockwise manner. As described, for

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example, at pages 140-141 of the above-incorporated Compact Disk Technology text, a focus error signal (FE) is developed as the difference of the sum of the outputs of the diagonal quadrants of detector 30:

$$5 \quad \quad \quad FE = (30a + 30c) - (30b + 30d) \quad (1)$$

A signal proportional to the above difference is provided to a servo-motor driving objective 14 to move the objective to a location at which the focal plane corresponding to point 22 is aligned with the  
10 plane of focus detector 30. As will be readily appreciated, when the focus is correct, the circular beam of light emanating from objective 16 will impinge on equal areas of each of quadrants 30a-30d, resulting in a zero correction signal.

15 Referring now to FIGS. 3A and 3B, an adaptation of the astigmatism method is described for use with apparatus capable of simultaneously reading multiple data tracks from an optical disk. In this adaptation of the astigmatism focussing method,  
20 cylindrical lens 42 introduces an astigmatism aberration in the optical path near the light source, such that the image of the light source is aberrated rather than the image of the optical disk.

In the embodiment of FIG. 3, light emitted  
25 from source 40 of laser light, obtained as described, for example, in the above-incorporated United States patent, passes through cylindrical lens 42, beam splitter 44 and objective 46, and impinges on multiple tracks of optical disk 110. The wide-area beam of  
30 light reflected from optical disk 110 again passes through objective 46 and is directed by beam splitter 44 and tube lens 47 to image and focus detector array 48. Image and focus detector array 48 is coupled to controller 50, which may be a microprocessor or

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suitable analog circuitry, that provides a signal to servo-motor system 52.

Servo-motor 52 drives objective 46 towards and away from optical disk 110 responsive to signals generated by controller 50 and image and focus detector array 48. Controller 50 and servo-motor system 52 may comprise components of previously known optical disk reading systems, as described, for example, at pages 149 to 177 of the foregoing Compact Disc Technology text, which is incorporated herein by reference.

Referring now to FIG. 3B, the astigmatic image of the light source created by cylindrical lens 42 is described. The image of the light source projected by objective 46 onto the reflective layer of optical disk 110 is astigmatic, having orthogonal elliptical focal planes 200 and 210 on either side of a central in-focus plane 220 (that coincides with the reflective layer 110 of the optical disk when the system is focussed).

For example, the image of the light source forms elliptical spots 202 and 212 with circular spot 222 at a point mid-way between them. The reflective layer of optical disk 110 acts as a mirror that re-images the astigmatic image of the light source in planes 200 and 210 to their complementary planes, thus resulting in light spots 204, 214 and 224. Objective 46 again re-images the light source through beam splitter 44 and tube lens 47 to produce corresponding image spot 230 on detector 48.

The circular image 230 projected onto detector 48 is the conjugate of circular spot 224, which in turn is the conjugate of circular spot 222. When objective 46 is correctly focussed on optical disk 110, circular spot 224 is imaged to circular spot 230 on detector 48. When optical disk 110 is too close to the focal plane of objective 46, image spot 230

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projected onto detector 48 becomes horizontally elongated. Likewise, when optical disk 110 is too far away from the focal plane of objective 46, image spot 230 becomes vertically elongated. In this manner, the state of the focus is translated into a degree of ellipticity of the wide-area illumination beam.

Referring now also to FIGS. 4A to 4C, operation of the focussing system of FIGS. 3A and 3B is described. As shown in FIG. 4, image and focus detector array 48 includes data image detector 54 surrounded by focus detectors 56a, 56b, 56c and 56d. Data image detector 54 preferably comprises a time-delay integration semiconductor charge-coupled device, as described in the above-incorporated U.S. patent, and generates output signals corresponding to the digital data encoded in a plurality of adjacent tracks on optical disk 110.

Focus detectors 56a-56d are located around the periphery of image detector 54 so that only a portion the focus detectors are illuminated by the beam of light emanating from objective 46 as a result of the wide-area field of illumination provided by source 40. With focus detectors 56a-56d arranged at the edge of the illumination field, the areas of each of the focus detectors illuminated will be equal when the focus is correct, as shown in FIG. 4A.

With respect to FIGS. 4B and 4C, if objective 46 is too close, the beam of light reflected from optical disk will be horizontally elongated (shown as a left-leaning ellipse in FIG. 4B), so as to produce a larger output from focus detectors 56a and 56c. On the other hand, if objective 46 is too far away from the optical disk, the beam of light reflected from optical disk will be vertically elongated (shown as a right-leaning ellipse in FIG. 4C).

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Using equation (1) provided above to compute a difference between the sums of the outputs of focus detectors (56a + 56 c) and (56b + 56d) results in an error signal from controller 50 that causes servo-  
5 motor 52 to drive objective 46 in an appropriate direction to correct the focus. Thus, by placing a cylindrical lens in front of source 40 before beam splitter 44 and employing individual focus detectors arranged on the periphery of the field of illumination,  
10 the astigmatism method of focussing can be adapted for use with apparatus capable of simultaneously reading multiple data tracks. Alternatively, cylindrical lens 42 may be placed between beam splitter 44 and image and focus detector array 48, similar to the arrangement of  
15 FIG. 1, with the focus detectors 56a-d again being arranged around the image detector.

Referring again to FIGS. 3A and 3B, during track access operation objective 46 may move up to +/- 15 mm along the optical axis of the focussing  
20 system. This movement may also change the distances between cylindrical lens 42 and objective 46, and introduce misalignment between these components. Applicant has found that these changes in distance, as well as misalignment, may result in small shifts of the  
25 position of spot 230 relative to the focal plane of objective 46. These small shifts may result in a small degree of ellipticity, or a sideways shift of the circular spot, being re-imaged to the detector 48 even though the optical disk is located at the focal plane  
30 of objective 46. These small shifts, if not compensated for, may incorrectly result in generation of a focus error signal.

To account for the foregoing shift caused by relative motion between the objective and the  
35 cylindrical lens, the change in distance or displacement between objective 46 and cylindrical lens

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42 may be tracked during the process of accessing data tracks on optical disk 110. A look-up table may then be consulted which provides an off-set in the correction signal that may be applied to the focus error signal.

For example, if controller 50 provides a digital signal to servo-motor 52 that controls movement of objective 46, that digital signal may also be used in a RAM or ROM-based lookup table to obtain a digital offset correction signal (OFF), which is then summed with the signals output by focus detectors 56a-56d:

$$FE = (56a + 56c) - (56b + 56d) + OFF \quad (2)$$

If on the other hand, analog circuitry is employed to measure movement of the pickup head (and the objective lens), then for a well-behaved offset correction signal, an analog offset correction signal may be developed using a suitable combination of analog circuits, for example, digital to analog converters.

Referring now to FIGS. 5 to 7, alternative embodiments of the focussing methods and apparatus of the present invention are described. In these embodiments of the invention, light emitted from source 60 passes through beam splitter 62 and objective 64 and impinges upon optical disk 120. Light reflected from optical disk 120 passes through objective 64 and is directed by beam splitter 62 to tube lens 65. Lens 66 and micro-lenses 70 and 72 pass the light beam refracted from tube lens 65 to image detector 68 with some focus offset. Focus detectors 74 and 76 are illustratively positioned above and below image detector 68, and in the same focal plane as the image detector.

Focus detectors 74 and 76 integrate the image for predetermined regions of the optical disk tracks, and may comprise conventional charge-coupled devices.

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In a preferred embodiment, each of focus detectors comprises a group of CCD elements 8 pixels wide (at about 10.8 microns per pixel) by 320 microns long coupled to a shift register which outputs the pixel  
5 data. These dimensions of the focus detector pixels take into account the about 25 times magnification of the optical disk image created by the optical system.

The output shift registers of focus detectors 74 and 76 are connected to digital controller 78 via  
10 A/D converters, or are coupled to suitable analog circuitry, for example, as shown in FIG. 7. Alternatively, the outputs of the focus detectors may be used directly to determine the minima and maxima corresponding to the track locations. Digital  
15 controller 78 computes an error signal that is provided to servo-motor 80 to drive objective 64 either closer to, or further away from, optical disk 120.

As illustrated in FIG. 5, micro-lens 70 has a focal point lying in a plane nearer than the plane in  
20 which its corresponding focus detector 74 is located, while micro-lens 72 has a focal point lying in a plane behind the plane in which its corresponding focus detector 76 is located. Focus detectors 74 and 76 generate an output voltage signal corresponding to the  
25 intensity of the light impinging on their surfaces from micro-lenses 70 and 72, respectively.

Since the light intensity directed to focus detectors 74 and 76 is a function of the presence of reflective tracks and non-reflective "pits" in optical  
30 disk 120, digital controller 78 may be programmed to analyze the degree of modulation in the focus detector output signals as well as the difference between the signals from focus detectors 74 and 76. Thus, for example, digital controller 78 may analyze the output  
35 signals of focus detectors 74 and 76 to determine local maxima (corresponding to the spaces between tracks) and



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local minima (corresponding to the location of the tracks). The effect of this modulation of the light impinging upon focus detectors 74 and 76 is illustratively shown in FIG. 6 as sine waves 82 and 84 having different amplitudes corresponding to the outputs of focus detectors 74 and 76 for an out-of-focus condition.

Referring to FIG. 7, a block diagram of preferred analog focussing circuitry 90 for use in the focussing system of the embodiment of FIG 5 is described. Focussing circuitry 90 is coupled to the output shift registers of focus detectors 74 and 76, and comprises positive and negative peak detectors 91, 92, differential amplifiers 93, low pass filters 94 and differential amplifier 95. Positive and negative peak detectors 91 and 92 are coupled to each of focus detectors 74 and 76 and select the maxima and minima of the focus detector output waveforms, thus corresponding to the track and between-track portions of the optical disk.

Differential amplifiers 93 compute the difference between the maxima and minima selected by peak detectors 91 and 92 for each focus detector, and provide that difference to low pass filters 94. Low pass filters 94 serve as integrators, and have a passband width compatible with the operating bandwidth of servo-motor 80. The difference between the outputs of integrating low pass filters 94 is then computed by differential amplifier 95, and the resulting focus error signal is provided to servo-motor 80.

When objective 64 is at a correct focussing position, the light beams directed by micro-lenses 70 and 72 to focus detectors 74 and 76 will be balanced so that the difference of the outputs of focus detectors 74 and 76 will be zero (i.e., the output waveforms 82

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and 84 in FIG. 5 will be equal, so that the resulting focus error signal is zero).

Referring now to FIGS. 8 and 9, further alternative embodiments of focussing systems suitable for use with apparatus capable of simultaneously reading multiple data tracks are described. While these embodiments are less preferred than those of FIGS. 3 and 5, focussing systems using the embodiments of FIGS. 8 and 9 may nevertheless be desirable for certain configurations of optical disk readers.

In FIG. 8, apparatus 120 comprises an imaging system comprising a wide-area illumination source 121, beam splitter 122, objective lens 123, tube lens 124, image detector 125 and a focussing system comprising narrow-beam light source 126, beam splitter 127, objective 128, cylindrical lens 129 and quadrant detector 130. In this embodiment, objective lenses 123 and 128 are mounted in a single pickup head which is driven by a single servo-motor (not shown).

Narrow-beam light source 126, which may be a semiconductor laser diode, provides an illumination beam only several microns wide. The light reflected from optical disk 135 passes through cylindrical lens 129 and impinges upon quadrant detector 130. Quadrant detector 130 then generates a focus error signal, as described hereinabove, which may be used to drive the servo-motor controlling positioning of the pickup head. Thus, when objective lens 128 is in focus, objective lens 123 will also be in focus.

Referring now to FIG. 9, apparatus 140 is described. Multi-track reading and focussing system 140 includes wide-area illumination source 141, beam splitter 142, objective lens 143, tube lens 144 and image detector 145. Interposed between beam splitter 142 and tube lens 144 is an auxiliary beam splitter 146 that diverts part of the light emanating from objective

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lens 143 to cylindrical lens 148. Light passing through lens 148 is directed to quadrant detector 148. Additional tube lenses, not shown, may be interposed between beam splitter 146 and cylindrical lens 147 to  
5 concentrate the wide-area illumination image to a size suitable for use with quadrant detector 148.

The embodiment of FIG. 9 therefore diverts a portion of the light beam directed to image detector 145 to quadrant detector 148 using beam splitter 146.  
10 A focus error signal that drives a servo-motor moving objective lens 143 is generated by quadrant detector in accordance with the astigmatism method described hereinabove.

The above-described embodiments of FIGS. 8  
15 and 9 impose some additional cost relative to, for example, the embodiment of FIG. 3, by requiring additional optical elements and alignment of the optical axes of these components. In addition, the embodiment of FIG. 8 requires an additional laser  
20 diode, while in the embodiment of FIG. 9 the use of an additional beam splitter may reduce optical efficiency. Nevertheless, these embodiments offer certain cost advantages relating to the use of previously known optical and electronic devices, and may prove  
25 beneficial for certain configurations of optical disk readers.

It will of course be understood by those skilled in the relevant arts that the exemplary embodiments of the invention described hereinabove in  
30 no way limit the intended use of the methods and apparatus of the present invention, and that the methods of the present invention could be implemented using focussing systems having other configurations.

While preferred illustrative embodiments of  
35 the present invention are described above, it will be obvious to one skilled in the art that various changes

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and modifications may be made therein without departing  
from the invention and it is intended in the appended  
claims to cover all such changes and modifications  
which fall within the true spirit and scope of the  
5 invention.

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What Is Claimed Is:

1. A method of correcting the focus in apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the method comprising steps of:

providing a cylindrical lens;

providing a plurality of focus detectors  
generating outputs;

positioning the plurality of focus detectors spaced equidistant apart around a periphery of the image detector, each one of the plurality of focus detectors located at a position on an edge of a light beam reflected from the optical disk corresponding to the wide-area field of illumination;

positioning the cylindrical lens adjacent to the light source, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

computing an error signal from the outputs of the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

2. The method as defined in claim 1 wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically across the image detector, the step of computing an error signal comprising computing a

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difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

3. The method as defined in claim 1 further comprising the step of actuating the servo-system to drive the objective to a position at which the error signal is computed to be zero.

4. The method as defined in claim 1 wherein movement of the objective relative to the cylindrical lens introduces an offset error in the error signal, the method further comprising steps of:

- computing the displacement of the objective relative to the cylindrical lens;

- selecting a correction value for the error signal responsive to the measured displacement; and

- correcting the error signal to account for the correction value.

5. A method of correcting the focus in apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the method comprising steps of:

- providing a cylindrical lens;

- providing a plurality of focus detectors generating outputs;

- positioning the plurality of focus detectors spaced equidistant apart around a periphery of the image detector, each one of the plurality of focus detectors located at a position on an edge of a light beam reflected from the optical disk corresponding to the wide-area field of illumination;

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positioning the cylindrical lens between the objective and the plurality of focus detectors, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

computing an error signal from the outputs of the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

6. The method as defined in claim 5 wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically across the image detector, the step of computing an error signal comprising computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

7. The method as defined in claim 5 further comprising the step of actuating the servo-system to drive the objective to a position at which the error signal is computed to be zero.

8. The method as defined in claim 5 wherein movement of the objective relative to the cylindrical lens introduces an offset error in the error signal, the method further comprising steps of:

computing the displacement of the objective relative to the cylindrical lens;

selecting a correction value for the error signal responsive to the measured displacement; and

correcting the error signal to account for the correction value.

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9. A method of correcting the focus in apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the method comprising steps of:

providing a lens having first and second micro-lenses of different focal lengths;

providing first and second focus detectors generating outputs;

positioning the first and second focus detectors adjacent to the image detector;

positioning the lens within a light beam reflected from the optical disk corresponding to the wide-area field of illumination so that light passing through the first micro-lens is directed to the first focus detector and light passing through the second micro-lens is directed to the second focus detector, the first micro-lens having a focal length shorter than a distance between the first micro-lens and the first focus detector, the second micro-lens having a focal length longer than a distance between the second micro-lens and the second focus detector; and

computing an error signal from the outputs of the first and second focus detectors to drive the servo-system responsive to a distortion of the light beam reflected from the optical disk.

10. The method as defined in claim 9 wherein the first and second focus detectors output signals corresponding to modulations of the light beam reflected from the optical disk, the step of computing an error signal comprising computing a difference of the signals output by the first and second focus detectors.



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11. The method as defined in claim 10, wherein the modulations of the light beam reflected from the optical disk create modulations in the signals output by the first and second focus detectors, the step of computing an error signal further comprising equilibrating the amplitudes of the modulations in the signals output by the first and second focus detectors.

12. A method of correcting the focus in apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a first light source providing a wide-area field of illumination that covers multiple tracks, an image detector, a first objective disposed in a pickup head, and a servo-system that moves the pickup head, the method comprising steps of:

providing a second light source;

providing a second objective having an optical path, the second objective having a focal plane;

providing a cylindrical lens in the optical path;

providing a quadrant focus detector in the optical path, the quadrant focus detector generating outputs;

positioning the second objective in the pickup head;

reflecting a light beam from the second light source off the optical disk, through the second objective and cylindrical lens to the quadrant focus detector, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

computing an error signal from the outputs of the quadrant focus detector that is used to drive the

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servo-system to move the pickup head to a position at which the optical disk is incident with the focal plane of the second objective responsive to the distortion of the light beam reflected from the optical disk.

13. The method as defined in claim 12 wherein the quadrant focus detector comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, the step of computing an error signal comprising computing a difference of the sum of the signals for diagonal ones of the focus detectors.

14. The method as defined in claim 12 further comprising the step of actuating the servo-system to drive the second objective to a position at which the error signal is computed to be zero.

15. A method of correcting the focus in apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the method comprising steps of:

- providing a beam splitter;
- providing a cylindrical lens;
- providing a plurality of focus detectors generating outputs;
- positioning the beam splitter so that a portion of a light beam reflected from the optical disk corresponding to the wide-area field of illumination is directed to the cylindrical lens;

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positioning the cylindrical lens in the optical path of the plurality of focus detectors, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

computing an error signal from the outputs of the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

16. The method as defined in claim 15 wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically, the step of computing an error signal comprising computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

17. The method as defined in claim 15 further comprising the step of actuating the servo-system to drive the objective to a position at which the error signal is computed to be zero.

18. In apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the improvement comprising a focussing system including:

a plurality of focus detectors spaced equidistant apart around a periphery of the image detector, each one of the plurality of focus detectors

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located at a position on an edge of a light beam reflected from the optical disk corresponding to the wide-area field of illumination, the focus detectors generating outputs;

a cylindrical lens disposed adjacent to the light source, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

means for computing an error signal from the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

19. The apparatus as defined in claim 18, wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically across the image detector, the means for computing an error signal comprising means for computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

20. The apparatus as defined in claim 18 wherein movement of the objective relative to the cylindrical lens introduces an offset error into the error signal, the apparatus further comprising:

means for tracking the position of the objective relative to the cylindrical lens; and

circuitry for determining a correction value for the error signal corresponding to the position of the objective.

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21. In apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, the improvement comprising a focussing system including:

a plurality of focus detectors spaced equidistant apart around a periphery of the image detector, each one of the plurality of focus detectors located at a position on an edge of a light beam reflected from the optical disk corresponding to the wide-area field of illumination, the focus detectors generating outputs;

a cylindrical lens interposed between the objective and the plurality of focus detectors, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and

means for computing an error signal from the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

22. The apparatus as defined in claim 21, wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically across the image detector, the means for computing an error signal comprising means for computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

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23. The apparatus as defined in claim 21 wherein movement of the objective relative to the cylindrical lens introduces an offset error into the error signal, the apparatus further comprising:

means for tracking the position of the objective relative to the cylindrical lens; and  
circuitry for determining a correction value for the error signal corresponding to the position of the objective.

24. In apparatus for simultaneously reading multiple tracks of an optical disk, the apparatus including a light source providing a wide-area field of illumination that covers multiple tracks, an image detector, an objective, and a servo-system that moves the objective, an improvement comprising a focussing system including:

first and second focus detectors adjacent to the image detector, the first and second focus detectors generating outputs;

a lens having first and second micro-lenses of different focal lengths, the lens positioned within a light beam reflected from the optical disk that corresponds to the wide-area field of illumination, light passing through the first micro-lens directed to the first focus detector and light passing through the second micro-lens is directed to the second focus detector, the first micro-lens having a focal length shorter than a distance between the first micro-lens and the first focus detector, the second micro-lens having a focal length longer than a distance between the second micro-lens and the second focus detector; and

means for computing an error signal from the outputs of the first and second focus detectors to

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drive the servo-system responsive to a distortion of a light beam reflected from the optical disk.

25. The apparatus as defined in claim 24 wherein the first and second focus detectors output signals corresponding to modulations of the light beam reflected from the optical disk, the means for computing an error signal comprising computing a difference of the signals output by the first and second focus detectors.

26. The apparatus as defined in claim 25, wherein the modulations of the light beam reflected from the optical disk create modulations in the signals output by the first and second focus detectors, the error signal tending toward zero as the modulations in the signals output by the first and second focus detectors reach equal amplitudes.

27. The apparatus as defined in claim 25 wherein the means for computing comprises a differential amplifier coupled to the first and second focus detectors.

28. The apparatus as defined in claim 26 wherein the means for computing comprises, coupled to each of the first and second focus detectors, a positive peak detector, a negative peak detector and a differential amplifier, the positive and negative peak detectors coupled to each one of the first and second focus detectors, an output of each of the positive peak detector and negative peak detector coupled to the differential amplifier.

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29. The apparatus of claim 28 wherein the means for computing an error signal further comprises first and second low pass filters having passbands compatible with the servo-system.

30. Apparatus for simultaneously reading multiple tracks of an optical disk comprising:

- a light source providing a wide-area field of illumination that covers multiple tracks of the optical disk,

- an image detector,

- an objective,

- a servo-system that moves the objective to correct an out-of focus condition;

- first and second focus detectors adjacent to the image detector, the first and second focus detectors generating outputs;

- a lens having first and second micro-lenses of different focal lengths, the lens positioned within a light beam reflected from the optical disk that corresponds to the wide-area field of illumination, light passing through the first micro-lens directed to the first focus detector and light passing through the second micro-lens is directed to the second focus detector, the first micro-lens having a focal length shorter than a distance between the first micro-lens and the first focus detector, the second micro-lens having a focal length longer than a distance between the second micro-lens and the second focus detector;
- and

- means for computing an error signal from the outputs of the first and second focus detectors to drive the servo-system responsive to a distortion of a light beam reflected from the optical disk.



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31. The apparatus as defined in claim 30 wherein the first and second focus detectors output signals corresponding to modulations of the light beam reflected from the optical disk, the means for computing an error signal comprising computing a difference of the signals output by the first and second focus detectors.

32. The apparatus as defined in claim 31, wherein the modulations of the light beam reflected from the optical disk create modulations in the signals output by the first and second focus detectors, the error signal tending toward zero as the modulations in the signals output by the first and second focus detectors reach equal amplitudes.

33. The apparatus as defined in claim 31 wherein the means for computing comprises a differential amplifier coupled to the first and second focus detectors.

34. The apparatus as defined in claim 30 wherein the means for computing comprises, coupled to each of the first and second focus detectors, a positive peak detector, a negative peak detector and a differential amplifier, the positive and negative peak detectors coupled to each one of the first and second focus detectors, an output of each of the positive peak detector and negative peak detector coupled to the differential amplifier.

35. The apparatus of claim 33 wherein the means for computing an error signal further comprises first and second low pass filters having passbands compatible with the servo-system.

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36. Apparatus for simultaneously reading multiple tracks of an optical disk comprising:

- a first objective having a first optical path
- a first light source providing a wide-area field of illumination through the first objective to illuminate multiple tracks of the optical disk;
- an image detector disposed in the first optical path;
- a servo-system for moving the first objective:
- a second objective having a second optical path, the second objective mounted adjacent to the first objective for movement in unison with the first objective by the servo-system;
- a second light source providing a beam of light through the second objective to illuminate the optical disk;
- a plurality of focus detectors disposed in the second optical path so that a light beam reflected from the optical disk corresponding to the beam of light provided by the second light source impinges upon the plurality of the focus detectors, the plurality of focus detectors generating outputs;
- a cylindrical lens disposed in the second optical path, so that an out-of-focus condition causes distortion of the light beam reflected from the optical disk; and
- means for computing an error signal from the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam reflected from the optical disk.

37. The apparatus as defined in claim 36, wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional

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to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically, the means for computing an error signal comprising means for computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

38. Apparatus for simultaneously reading multiple tracks of an optical disk comprising:

- an objective having a first optical path

- a light source providing a wide-area field of illumination through the objective to illuminate multiple tracks of the optical disk;

- an image detector disposed in the first optical path;

- a servo-system for moving the objective:

- a plurality of focus detectors disposed in a second optical path, the plurality of focus detectors generating outputs;

- a beam splitter disposed in the first optical path, the beam splitter directing a portion of a light beam reflected from the optical disk corresponding to the wide-area field of illumination to a second optical path so that the portion of the light beam impinges upon the plurality of the focus detectors;

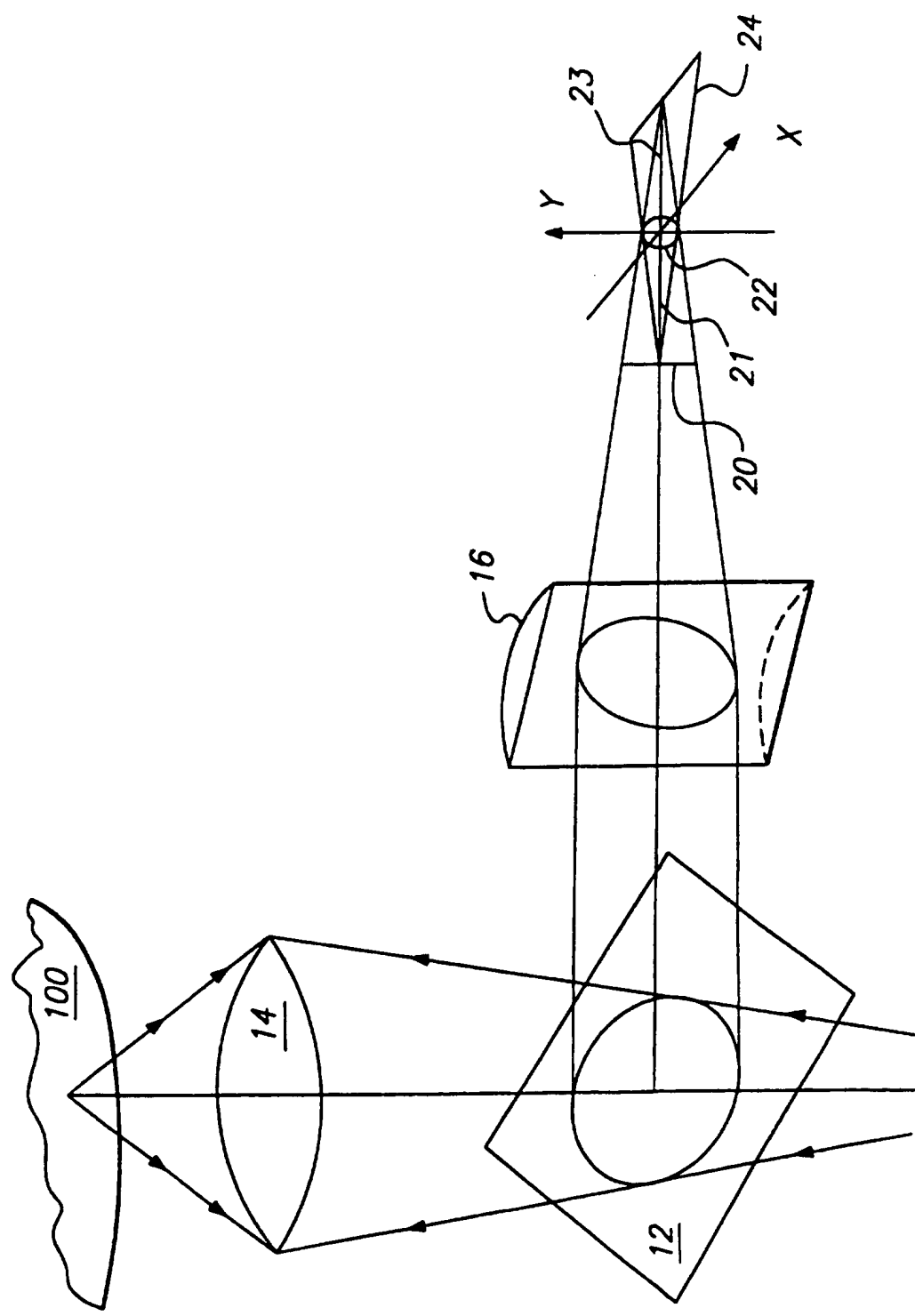
- a cylindrical lens disposed in the second optical path, so that an out-of-focus condition causes distortion of the portion of the light beam directed to the plurality of focus detectors; and

- means for computing an error signal from the plurality of focus detectors to drive the servo-system responsive to the distortion of the light beam directed to the plurality of focus detectors.

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39. The apparatus as defined in claim 38, wherein the plurality of focus detectors comprises four focus detectors each having an area, each one of the four focus detectors generating a signal proportional to a fraction of the area of the focus detector illuminated by the light beam reflected from the optical disk, respective pairs of the four focus detectors aligned symmetrically, the means for computing an error signal comprising means for computing a difference of the sum of the signals for symmetrically aligned pairs of the focus detectors.

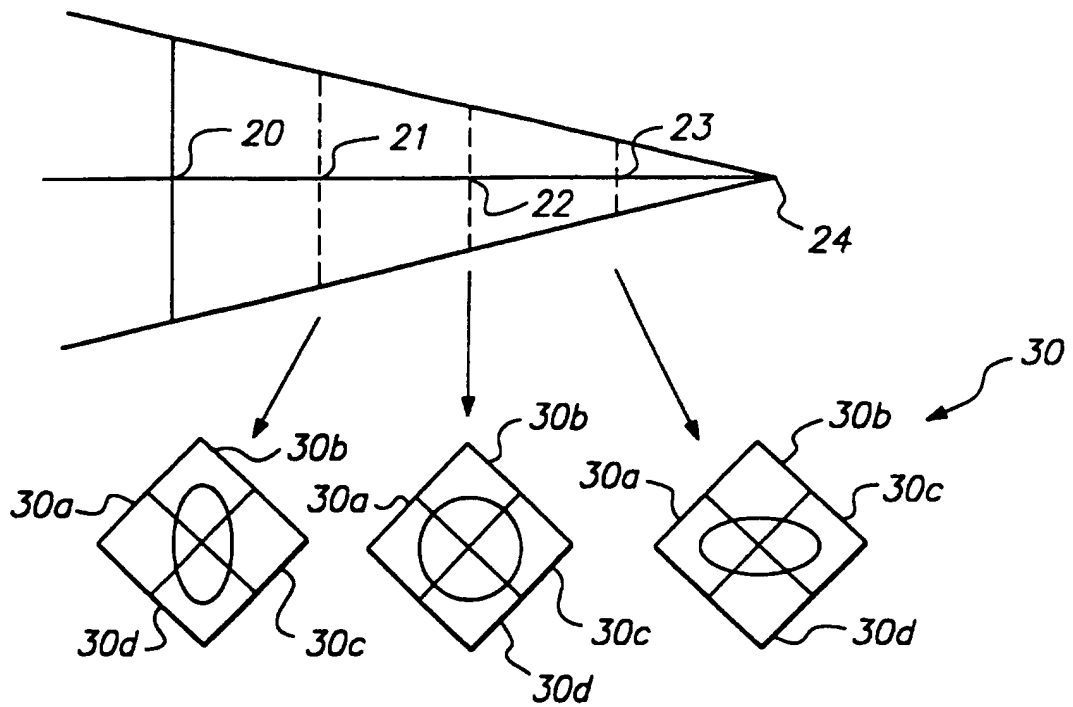
40. The apparatus as defined in claim 39 wherein the plurality of focus detectors form a quadrant detector.



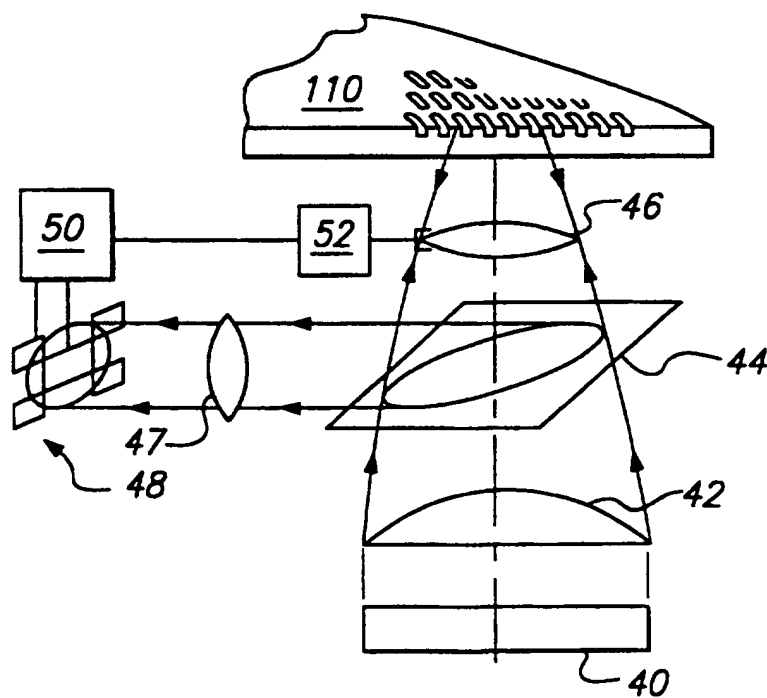
**FIG. 1**  
PRIOR ART

FROM LIGHT SOURCE 10

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**FIG. 2**



**FIG. 3A**

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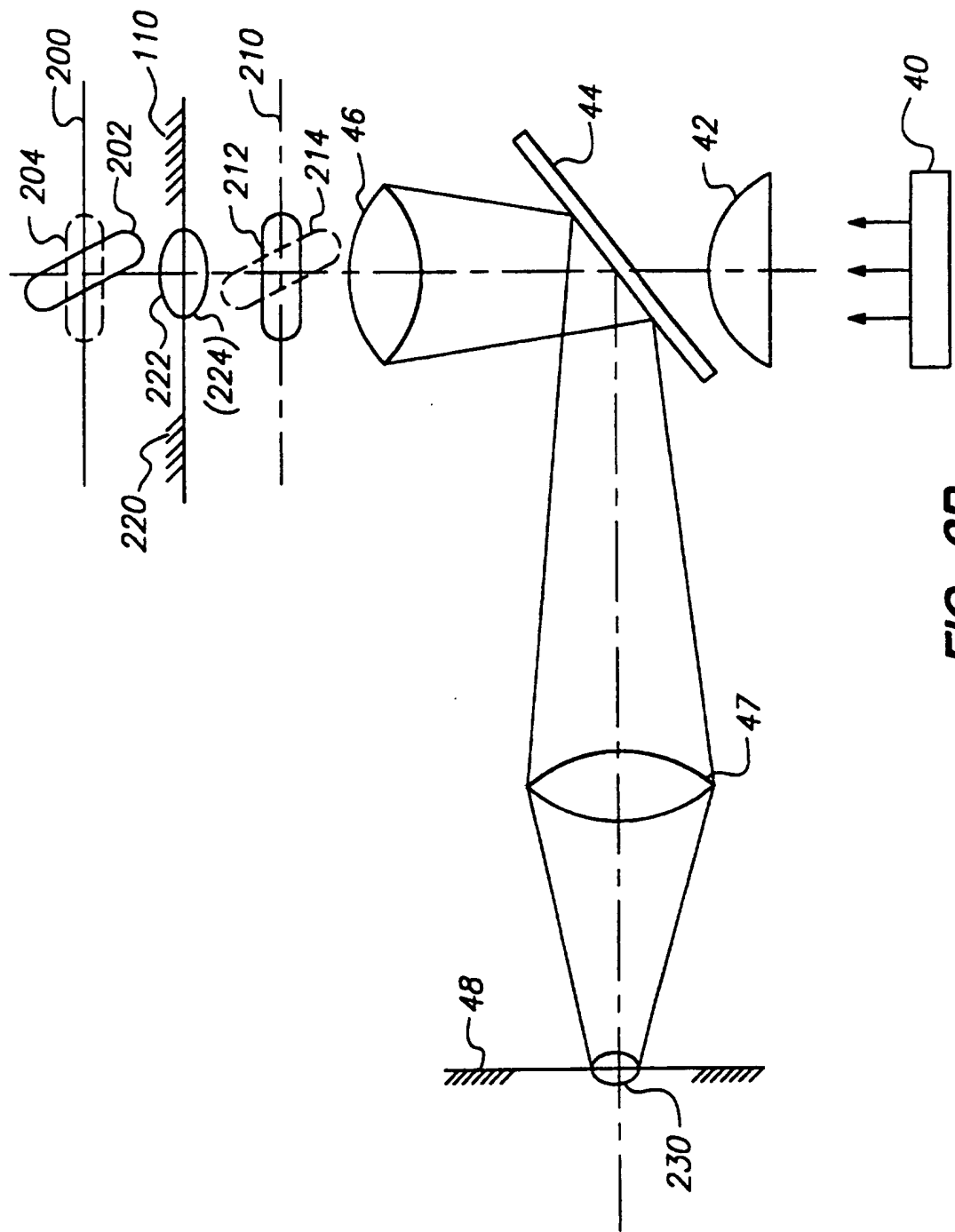
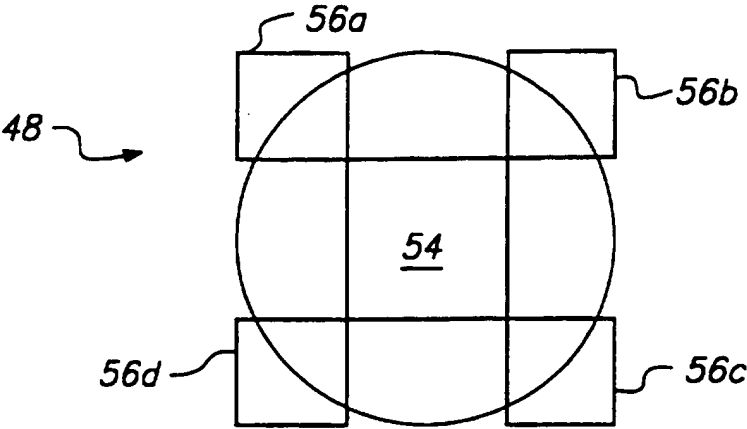
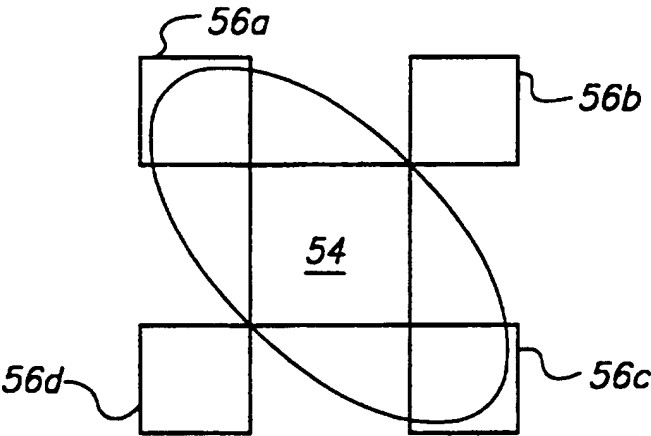


FIG. 3B

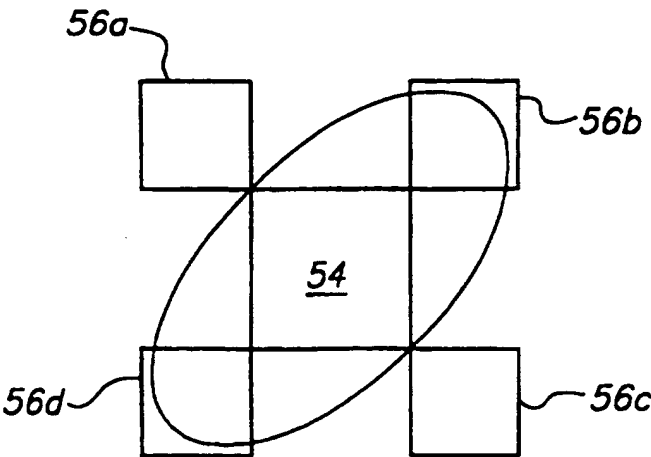
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**FIG. 4A**



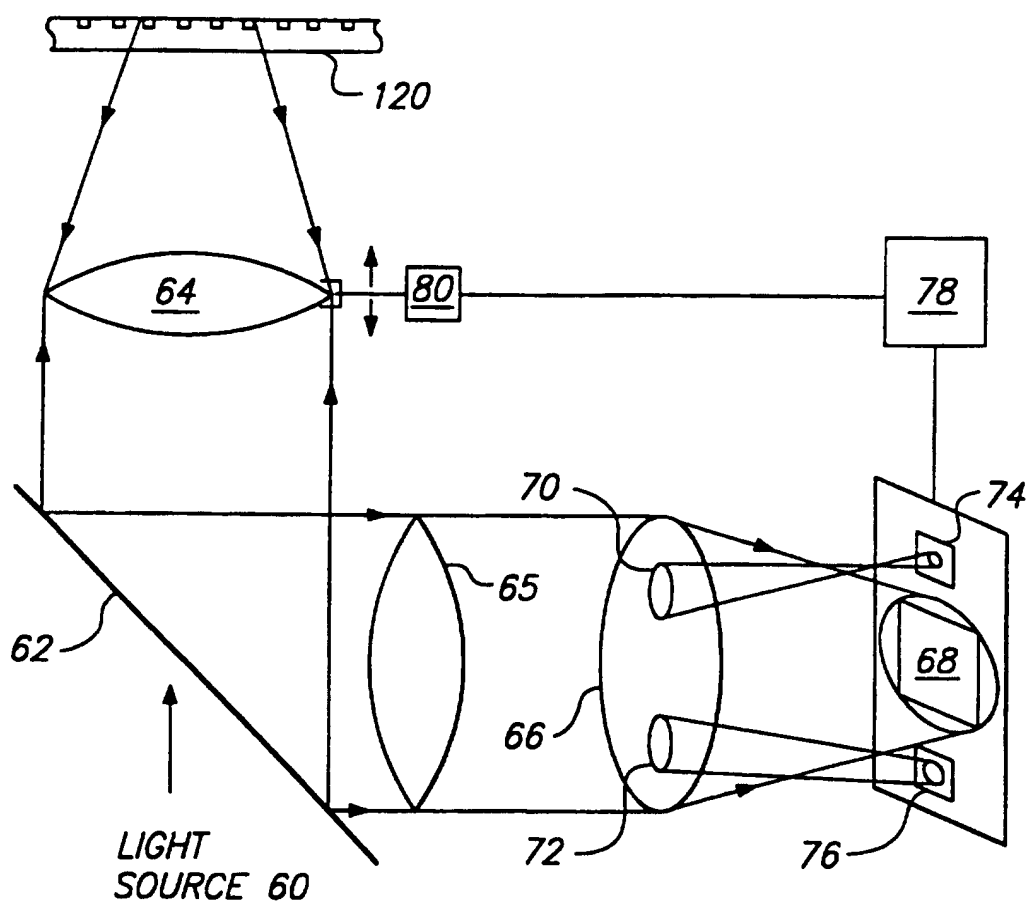
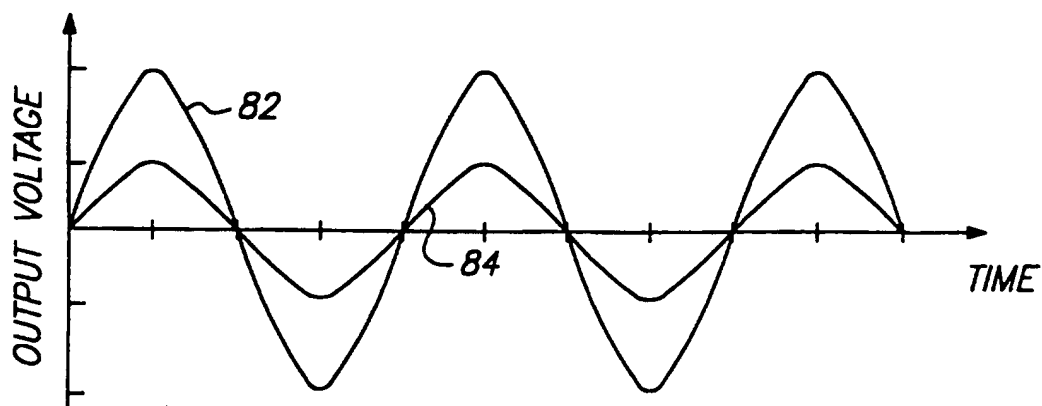
**FIG. 4B**



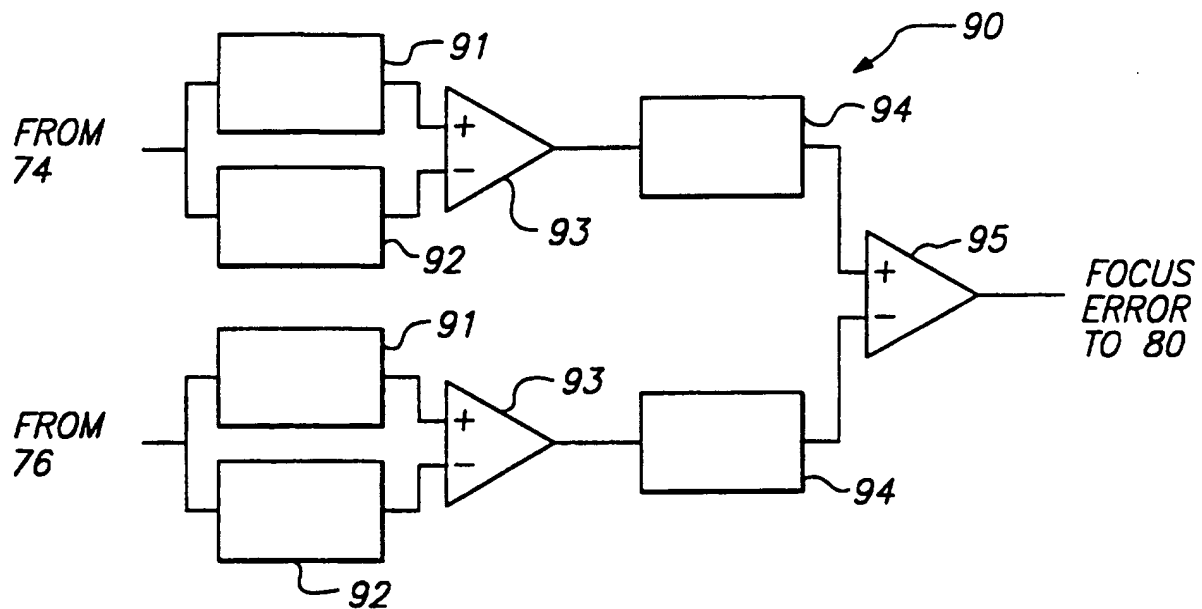
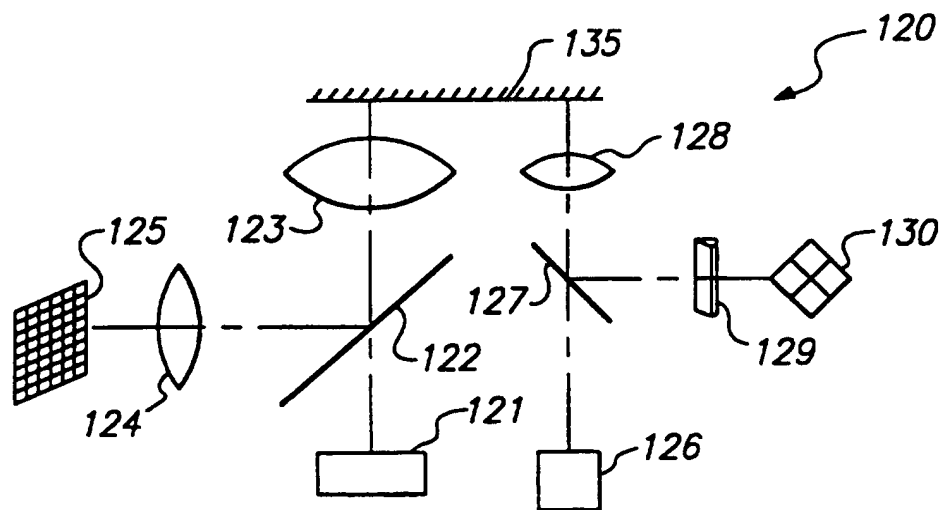
**FIG. 4C**



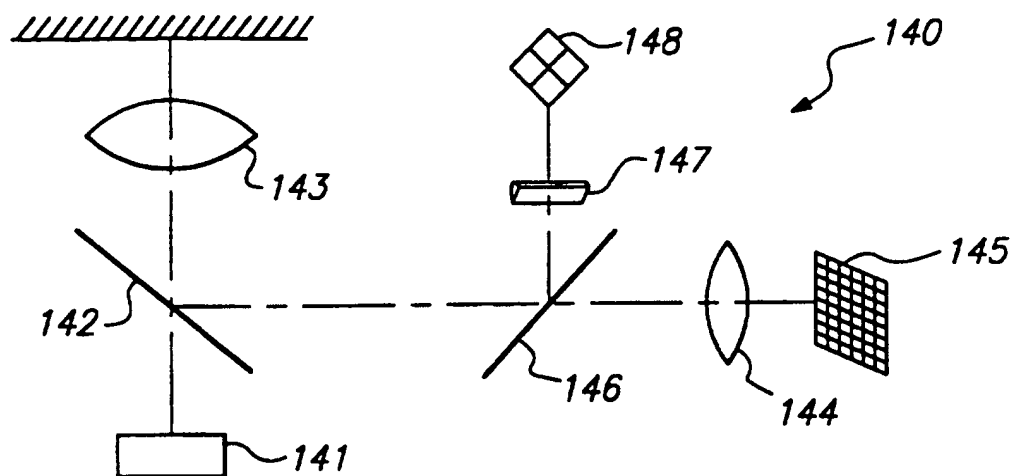
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**FIG. 5****FIG. 6**

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**FIG. 7****FIG. 8**

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**FIG. 9**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 96/04110

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G11B7/14 G11B7/09 G11B7/13 G11B7/135

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G11B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                               | Relevant to claim No.                            |
|------------|--|--|
| X<br>A     | EP,A,0 542 402 (PIONEER ELECTRONIC CORP)<br>19 May 1993<br>see the whole document                                | 15,38<br><br>1,5,9,<br>12,18,<br>21,24,<br>30,36 |
| A          | ---<br>EP,A,0 568 010 (OLYMPUS OPTICAL CO) 3<br>November 1993<br>see column 7, line 34 - line 38; figures<br>3,4 | 1,18   |
| A          | ---<br>US,A,5 426 623 (ALON AMIR ET AL) 20 June<br>1995<br>cited in the application<br>-----                     |  |

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

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- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*A\* document member of the same patent family

Date of the actual completion of the international search

8 January 1997

Date of mailing of the international search report

28.01.97

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 96/04110

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s)                                       | Publication<br>date                          |
|---|---------------------|--|--|
| EP-A-0542402                              | 19-05-93            | JP-A- 5135403<br>US-A- 5283778                                   | 01-06-93<br>01-02-94                         |
| EP-A-0568010                              | 03-11-93            | JP-A- 5307767  | 19-11-93                                     |
| US-A-5426623                              | 20-06-95            | EP-A- 0569718<br>US-A- 5535189<br>US-A- 5574712<br>US-A- 5537385 | 18-11-93<br>09-07-96<br>12-11-96<br>16-07-96 |